# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Sanjay Suri

Serial No.: 10/520,020

Filed: December 29, 2004

TITLE: Atorvastatin Calcium Form VI or

Hydrates Thereof

Attorney Packet No. 04-40419-US

Group Art Unit: TBD

Examiner: Anita D. Johnson

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## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The above-identified Applicant submits herewith copies of references, that may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56. Form PTO-1449, attached hereto, lists the references of which Applicant is aware, and which may be material to the examination of this application.

While the information cited in this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, the filing of this reference should not be construed to be an admission that any patent, publication or other information referred to herein is, or is considered to be, either "prior art" for this invention or otherwise material to the patentability of this invention as defined in 37 C.F.R. § 1.56(b).

In accordance with L.F.R. § 1.97(g), the filing of this Information Disclosure

Statement shall not be construed as a representation that a search has been made or that no
other material information as defined in 37 C.F.R. § 1.56(b) exists.

It is believed that this Information Disclosure Statement is being filed prior to the mailing date of any final action, a notice of allowance, or an action that otherwise closes prosecution of the application pursuant to 37 C.F.R. §1.97(c)(2).

While it is believed no fee is due in connection with this filing, the Commissioner is hereby authorized to charge any payment of fees or credit any over-payment associated with this application to Deposit Account No. 18-0586.

#### **CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.10**

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SUBSTITUTE FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE	ATTY. DOCKET NO. 04-40419-US	SERIAL NO. 10/520,020
INFORMATION DISCLOSU	RE CITATION		
		APPLICANT: Sanjay Suri	
		FILING DATE December 29, 2004	GROUP TBD

#### U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF
	AA	4,681,893	07/21/1987	Roth			
	AB	5,273,995	12/28/1993	Roth			
	AC	5,003,080	03/26/1991	Butler et al.			
	AD	5,097,045	03/17/1992	Butler wt al.			
<del></del>	AE	5,103,024	04/07/1992	Millar et al.			
	AF	5,124,482	06/23/1992	Butler et al.			
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•	AH	5,155,251	10/13/1992	Butler et al.			
	ΑI	5,216,174	06/01/1993	Butler et al.			
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·	AK	5,280,132	01/18/1994	Clarey et al.			
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	AM	5,007,080	04/09/1991	MacMillan et al.			
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	AO	5,969,156	10/19/1999	Briggs et al.			
	AP	6,121,461	09/19/2000	McKenzie			

### FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE		COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	NO
BA	WO97/03960	02/06/1997	wo					
BB	WO00/71116	11/30/2000	WO				Ì	
BC	WO97/03958	02/06/1997	wo					
BD	WO97/03959	02/06/1997	wo					
BE	WO01/36384	05/25/2001	WO					

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)										
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.